

**Notice of Allowability**

Application No.

09/826,310

Examiner

Taghi T. Arani

Applicant(s)

WINNEG ET AL.

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**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--**

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 9/19/2005.
2. ☒ The allowed claim(s) is/are 1-8, 10, 13-22, 27-36, 38, 41-50, 52, 55-65, 67, 70-81, 83 and 86-90.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some\* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

**Attachment(s)**

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date \_\_\_\_\_
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

*cel*  
Primary Examiner  
ARZ/31  
9/29/05

**Examiner's Statement of Reasons for Allowance**

Claims 1-8,10,13-22, 27-36, 38, 41-50,52, 55-65, 67, 70-81, 83, 86-90 are allowed over prior art of record..

The following is an examiner's statement of reasons for the indication of allowable claimed subject matter.

As per independent claims 1,15, 29, 43, 44, 59, 74 and 90, the closest prior art of record to EXAMSOFT teach all limitations except “ terminating unauthorized processes executing during the displaying of the area on the display device” and “wherein the computer system comprises a registry that lists all processes currently executing on the computer systems and act (B)(1)(a) comprises an act of periodically accessing the registry on the computer system at predefined intervals to ascertain the processes currently executing on the computer system”.

However, Kisor teaches detecting and determining any unauthorized processes executing during the displaying of the area on the display device [ Kisor teaches ( col. 3, line 42 through col. 4, line 3). Kisor teaches “ When event detector 40 detects an event on event list 46, decision maker 42 determines whether the event is permitted. Permission category list 48 lists permission categories for different events. Action generator 44 then accesses action list 50 to determine the appropriate action for the permission category found in permission category list 48 for the detected event. Exemplary action includes permitting the event, denying the event, killing the process.

prior art of record singly or in combination does not teach or fairly suggest “wherein the computer system comprises a registry that lists all processes currently executing on the computer systems and act (B)(1)(a) comprises an act of periodically

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accessing the registry on the computer system at predefined intervals to ascertain the processes currently executing on the computer system” recited in independent claims 1,15, 29, 43, 44, 59, 74 and 90.

Dependent claims 2-8, 16-22, 27, 30-36, 38,41-42, 45-50, 52, 55-58, 60-65, 67,70-73, 75-81 and 83 are also allowed by virtue of their dependencies.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled “Comments on Statement of Reasons for Allowance.”

### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Taghi T. Arani whose telephone number is (571) 272-3787. The examiner can normally be reached on 8:00-5:30 Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Ayaz Sheikh can be reached on (571) 272-3795. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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T. A.

Taghi T. Arani, Ph.D.

Examiner

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9/29/2005

Cell

Primary Examiner

AU 2131

9/29/05